







Information Update – Governance & Audit Workplan Status Report

To: Chair and Board of Directors
Through: President/CEO Inez Evans
From: Deputy of Governance & Audit Brian Atkinson
Date: March 3, 2021

GOVERNANCE & AUDIT WORKPLAN STATUS REPORT

Governance & Audit Work Plan Status Report

Project / Activity	Governance & Audit Committee Meeting					
	FY20			FY21		
	Jun 2020	Sep 2020	Jan 2021	Mar 2021	June 2021	Sept 2021
Annual Risk Assessment Refresh						
Procurement Process Review						
Strategic & Capital Planning/Grant Management Review						
Compliance Mapping Exercise						
Comprehensive IT Risk Assessment						
Fare Collection				 ★		
Storeroom & Materials Management				 ★		
Capital Project Management				 ★		

 Complete  In-Process  Planned

★ Report targeted to be presented at G&A meeting.

Note: the timelines reflected above are estimates and may be subject to change due to scheduling constraints and/or Board requests.

Governance & Audit Completed Reviews & Audits

Report ID	Report Title	Date Reported
2020-1	Employee Terminations Timely Update in ADP	2/27/2020
2020-2	Transdev Fuel Purchase Reporting	6/16/2020
2020-3	Lost and Found Policy Addressing Found Cash	6/16/2020
2020-4	Lost Revenue - Online Credit Card Error	6/16/2020
2020-5	Payments Against Expired Agreement-Non-Order Invoices	6/16/2020
2020-6	BCP Progress Assessment	9/17/2020
2020-7	Red Line Lessons Learned	9/17/2020
2020-8	Annual Risk Refresh & Proposed Audit Plan	1/21/2021
2020-9	Procurement Process Review	1/21/2021
2020-10	Strategic & Capital Planning/Grant Management Review	1/21/2021
2020-11	Compliance Mapping Exercise	1/21/2021
2020-12	Comprehensive IT Risk Assessment	3/18/2021



RECOMMENDATION:

Receive the report.

Brian Atkinson
Deputy of Governance & Audit